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# Linux Asynchronous I/O Design: Evolution & Challenges

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# Linux Kernel Developers Summit - 2002



**Can you guess**: How many changes (lines of code) make their way into the mainline linux kernel every day ?



# Introduction to AIO

## AIO overlaps processing with I/O Operations

- App can submit (batch) IO w/o waiting for completion
  - Separate calls for submission & completion indication
  - Pipeline operations for improved throughput

# Improved utilization of CPU and devices

- Web servers, databases, I/O intensive applications
  - Avoid need for lots of threads, event driven model
- Application and system performance
  - Adapt to dynamically varying loads
  - Optimize disk activity (e.g. combining/re-ordering requests)

Food for thought:: What makes having lots of threads a problem ?



## **AIO Architecture Decisions**

#### External interface (API) choices

- Common interface for sync & async (Example ?)
- Unique set of interfaces for AIO
  - Can address specific requirements, e.g. batch submission
- Alternative system design principles
  - Sync and async share a common code path
    - e.g. sync = async + wait
  - Sync and async paths diverge as needed
    - May be tuned for different performance characteristics



# Linux AIO API

## Native Linux AIO API (libaio)

- io\_setup, io\_destroy [queue setup/teardown]
- io\_submit (e.g. IO\_CMD\_PREAD, IO\_CMD\_PWRITE)
- io\_getevents [completion status notification]
- io\_cancel

## POSIX AIO API (glibc)

- aio\_read/aio\_write/aio\_fsync
- lio\_listio
- aio\_cancel, aio\_suspend, aio\_return/aio\_error



# Linux File System IO - Recap

## Generic file read

- For each page in range
  - page\_cache\_readahead
  - lock\_page
  - aops->readpage if not uptodate
    - map blocks & issue read
  - wait till page is unlocked (indicates IO completion)
  - copy data to user buffer

**Question:** Can you detect other blocking points besides the ones marked above ?

# Generic file write

- For each page in range
  - map (and read) blocks
  - copy data from user buffer
  - mark pages dirty
- If (O\_SYNC)
  - writeout dirty mapping pages (use radix tree)
  - sync meta-data updates
  - wait for writeback to complete on these pages

(inode sem locking, journal)



## Linux File System Direct IO - Recap

### O\_DIRECT option

- Streams entire IO direct to BIO
  - inode sem locking, consistency wrt concurrent/buffered IO

#### Block device FS direct IO

- Walk user pages and the file range
  - get\_user\_pages (pin some user buffer pages)
  - Map blocks to disk
  - Submit io (collated)
- Wait for completion of all submitted IO
  - DIO structure (tracks count of BIOs)
- Post-processing for completed IO (dirty pages)

**Question:** Can you detect other blocking points besides the ones marked here ?



# Alternate Design Models for AIO

#### Offload entire IO to thread pools

- User level threads (e.g. glibc implementation)
- Kernel threads

#### Fully async state machine for every operation

- Series of event driven non-blocking steps
- Map user buffers to process context indep. form
- Hybrid approach with split phase I/O
  - Async submission, pool of threads to wait for completion
    - Per-address space threads for user context dependencies
  - e.g. SGI KAIO



# Linux AIO Evolution

## POSIX AIO implementation in glibc

- SGI KAIO patches
- Linux 2.4 distro add on patches (RHEL, SLES)
  - General FSAIO
- Linux 2.6 mainline
  - AIO Direct IO

#### Linux 2.6 external patches

- General FSAIO, AIO-epoll, POSIX AIO enablement
- Syslets & threadlets (general async system calls)



# Linux Kernel 2.6 AIO – Basic Infrastructure

#### Data structures

- IO context (ioctx)
- IO control block (iocb)
- Ring buffer completion events
- AIO workqueue

#### A few implementation issues

- Tricky race conditions (submit/complete/cancel paths)
- Latency, fairness, batching, ordering
- Resource limits and scaling
- Process exit conditions

# Linux 2.6 – Asynchronous Direct IO

Quick Check: Can you identify the AIO design model used here ?

#### IO completion step async

- Return EIOCBQUEUED after all IO is submitted
  - BIO completion callback completes iocb from interrupt context when entire DIO is done
- Workqueue for post-processing which cannot be from interrupt context
  - Optimization: mark pages dirty before IO, redirty if needed

#### Caveats

- Multiple potential blocking points not converted to async
  - Works in practice for special requirement of databases
- DIO code fragile, AIO-DIO error handling messy

# AIO Results – OLTP example

Configuration	Relative throughput	Page cleaner writes (%)
1 page cleaner with AIO	133	100
55 page cleaners without AIO	122	70

- Update-intensive OLTP database workload, Derived from a TPC benchmark, but in no way comparable to any TPC results
- DB2 V8, Linux 2.6.1, 2-way AMD Opteron, QLogic 2342 FC, 2 storage servers x 8 disk enclosures x 14 disks each, RAID-0 configuration, stripe size 256KB



# Generalized File System AIO – Linux 2.4 patches

#### Work-to-do callback driven async state machine

- (Almost) fully asynchronous but complex & hard to debug
- Separate code paths for sync and async
  - Allow special tuning for AIO, but duplication => maintainability issues

#### Pin user buffers

 Avoids extra threads for completing IO in caller's context but causes inefficient utilization of TLB for small buffers

#### Per filesystem impact

- Why does that matter ?

# Linux wait queue mechanism - Recap

## Basic mechanism

- wait\_queue\_head
- wait\_queue\_t
  - wait\_queue\_function, task to wakeup
- prepare\_to\_wait(), finish\_wait(), wakeup()
  - Flags: TASK\_INTERRUPTIBLE, TASK\_UNINTERRUPTIBLE
- io\_schedule()

## Hashed wait queues

- Filtered wakeups
- Example: page wait queue

**Question:** What purpose does the wait\_queue\_function serve ?

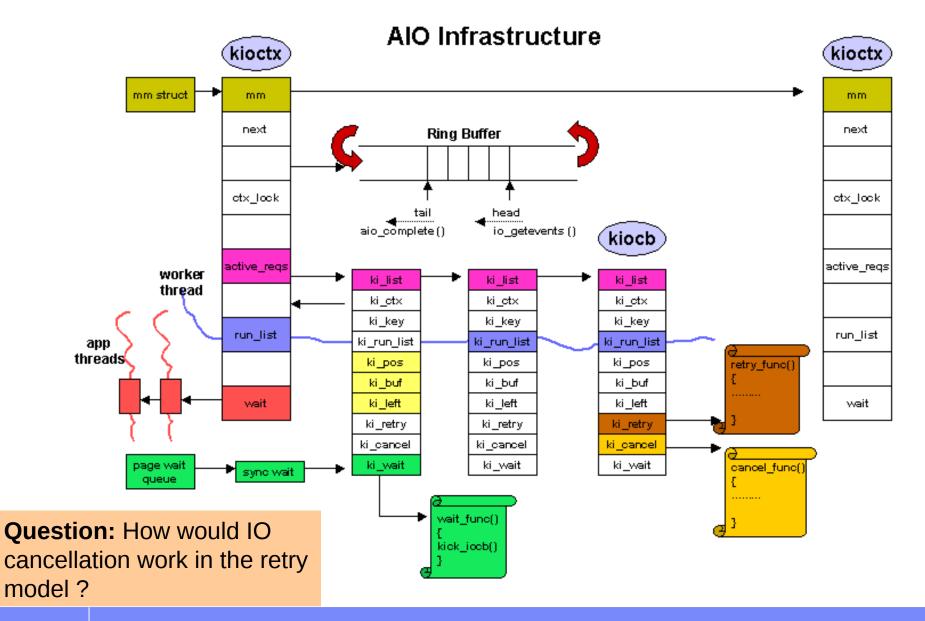


# Generalized File System AIO – Linux 2.6 patches

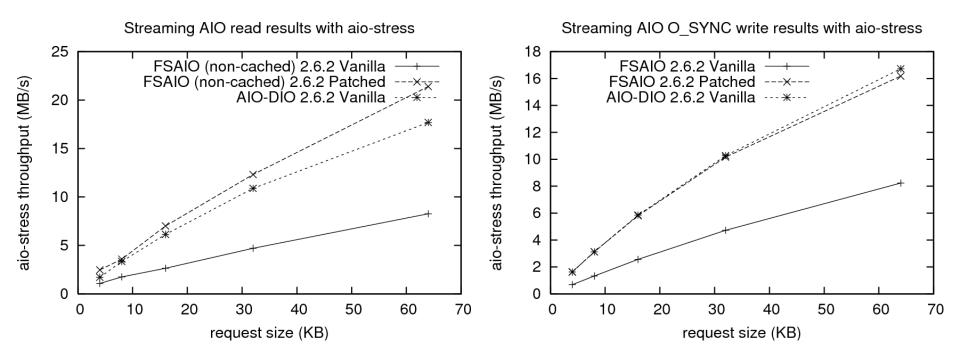
# Retry based AIO model

- Convert main blocking points to retry exits in AIO context
  - Return no. of bytes completed or -EIOCBRETRY
- Series of non-blocking iterations through an IO request
  - async wait callback schedules reissue of *fop->aio\_read/write* with modified arguments representing the remaining IO
- Retry threads take on caller's address space (use\_mm)
- AIO and Sync IO share a common code path
  - AIO = Sync IO wait + retry (vs Sync IO = AIO + wait)
    - e.g. iocb = container\_of(current\_wait()) in AIO context

**Question:** Is there a pre-requisite for the retry model to be applicable ?



## Filesystem AIO Results (Random read/write)



- Filesystem: Ext3, blocksize: 4KB, file : 1GB
- 4-way Pentium(tm) III, 700MHz, 512MB, AIC7896 Ultra2 SCSI
- Interesting issues: IO ordering with readahead, writeback & concurrency



# Combining Network & File AIO – Linux 2.6 patches

## Typical event loop

- Epoll (scalable file event polling) EPOLL\_CTL\_ADD/DEL
- Socket read/write
  - O\_NONBLOCK (readiness to send, available data to read)

#### Experimental

- AIO epoll: IO\_CMD\_EPOLL\_WAIT
- Simulating AIO using async poll & O\_NONBLOCK retries
- Kevent

## Eventfd (now in mainline, 2.6.22 onwards)

**Food for thought:** What makes network IO and file IO so different ? Why have so many alternatives emerged ?

# Building POSIX AIO over Kernel AIO – Linux 2.6 patches

- Signal notification
- lio\_listio
  - -IO\_CMD\_GROUP
- aio\_cancel\_fd

- AIO support for all types of file-descriptors
  - Fallback implementation



# Syslets & Threadlets: Generalized asynchronous systems calls – Linux 2.6 patches

## "Cache miss" concept applied to threading

- On-demand parallelism (Only if the original context blocks)
- Switch caller's user space context to a cache miss thread which continues user space execution without stopping
  - Spares users from setting up, sizing and feeding a thread pool

## Threadlets ("Optional threads")

– Small functions of execution

## Syslets

- Small, kernel-side, scripted "syscall plugins"



"So all in one, I used to think that AIO state-machines have a

long-term place within the kernel, but with syslets I think I've

proven myself embarrasingly wrong =B-)"

- Ingo Molnar, Feb 2007

Food for thought: Are there real situations where the overheads matter ?



# Observations

#### Many challenges beyond conversion to async

- API decisions, compatibility implications
- AIO exposes scenarios and IO patterns less likely with synchronous workloads
  - Inherent concurrency, contextual assumptions
- Shaped by real use cases that matter
  - AIO direct IO driven by database requirements

Food for thought: Why has getting real use cases been a challenge ?



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